

# GENERALIZED POLYNOMIAL APPROXIMATION

BY

J. BAK, D. LEVIATAN, D. J. NEWMAN AND J. TZIMBALARIO

## ABSTRACT

We estimate the rate of convergence to functions in the spaces  $L^p[0,1]$  and  $C[0,1]$  by polynomial of the form  $\sum \lambda a_\lambda x^\lambda$ , where the  $\lambda$ 's are positive real numbers and 0.

## Introduction

We will use the following notation:

$$\Lambda = \{1, x^{\lambda_1}, \dots, x^{\lambda_n}\} \quad 0 < \lambda_1 < \lambda_2 < \dots < \lambda_n$$

$$\|f\|_p = \left( \int_0^1 |f(t)|^p dt \right)^{1/p}$$

$$\|f\|_\infty = \sup_{t \in [0,1]} |f(t)|$$

$$d_p(f; \Lambda) = \inf_{P_\Lambda \in [\Lambda]} \|f - P_\Lambda\|_p.$$

Our goal in this paper is to determine the degree of approximation possible to functions in the spaces  $L^p[0,1]$  and  $C[0,1]$  by "polynomials"  $P_\Lambda$  in the span of  $\Lambda$ . More specifically, we seek the approximation index  $I_p$ , that is, the smallest possible number  $\eta_p(\Lambda)$  such that for any  $f \in L^p$ ,

$$d_p(f; \Lambda) \leq 2W_p(f; \eta_p).$$

Here  $W_p$  denotes the  $L^p$  modulus of continuity of  $f$ . (To simplify notation, we use the symbol  $L^\infty$  to denote the space of continuous functions.)

Recent research ([1], [6], [7]) has yielded the following results:

(A) If  $2 \leq p \leq \infty$  and  $\lambda_m \geq 2m$ ,  $m = 1, 2, \dots, n$ ,  $I_p$  is equivalent (equal within a constant factor) to

---

Received January 22, 1973

$$\exp\left(-2 \sum_m \frac{1}{\lambda_m}\right).$$

(B) If  $p = 2$  or  $p = \infty$ ,  $I_p$  is equivalent to  $\max_{\operatorname{Re} z = 1} |B_p(z)/z|$ , where  $B_p(z)$  is the Blaschke product with zeros at  $\lambda_m + 1/p$ .

Note that (A) gives a handy formula for  $I_p$  in the  $L^p$  spaces,  $2 \leq p \leq \infty$  under the growth condition  $\lambda_m \geq 2m$ . (B) gives the complete answer in the special cases  $p = 2$  or  $p = \infty$ . Furthermore, the rather complicated expression in (B) has a simple equivalent also in the ‘‘nonseparated’’ cases. That is, setting  $\varepsilon_p = \max_{\operatorname{Re} z = 1} |B_p(z)/z|$ , we have

$$(1) \quad C_2 \left(\sum_m \lambda_m\right)^{-\frac{1}{p}} \leq \varepsilon_p \leq C_1 \left(\sum_m \lambda_m\right)^{-\frac{1}{p}}, \quad \text{for each } 1 \leq p \leq \infty,$$

as long as  $\lambda_{m+1} - \lambda_m \leq 2$ . See [7].

Our conjecture is that  $\varepsilon_p$  is the correct formula (up to a constant factor) for the approximation index in all the  $L^p$ -spaces. The results we prove will show that it serves as an upper bound under very general conditions and also serves as a lower bound for  $2 \leq p \leq \infty$  and under the added hypothesis  $\lambda_m \geq 2m$  also for  $1 \leq p < 2$ . For future reference, then, we record the equivalent formula for  $\varepsilon_p$  also in the ‘‘separated’’ case. There, a comparison of Theorems (A) and (B) shows

$$(2) \quad C_2 \exp\left(-2 \sum_m \frac{1}{\lambda_m}\right) \leq \varepsilon_p \leq C_1 \exp\left(-2 \sum_m \frac{1}{\lambda_m}\right)$$

as long as  $\lambda_m \geq 2m$  for all  $m$ . In fact (2) holds for each  $1 \leq p \leq \infty$ .

### 1. Upper bounds

**THEOREM 1.** *There exists a constant  $A > 0$  such that for any  $f \in L^p[0, 1]$ , there exists  $P_\Lambda$  with  $\|f - P_\Lambda\|_p < 2 W_p(f; A\varepsilon_p)$  if any one of the following conditions is satisfied:*

- (a)  $2 \leq p \leq \infty$
- (b)  $1 \leq p < 2$ ,  $\lambda_m \geq m$  for all  $m$
- (c)  $1 \leq p < 2$ ,  $\lambda_{m+1} - \lambda_m \leq 1$  for all  $m$ .

Since  $\lambda_{m+1} - \lambda_m \geq 1$  for all  $m$  implies  $\lambda_m \geq m$  for all  $m$ , cases (b) and (c) form a pair of ‘‘separated’’ and ‘‘nonseparated’’ cases.

Our method of proof will consist of first approximating by an ordinary  $N$ -th degree polynomial and then reapproximating the monomials by  $\Lambda$ -polynomials.

This is the method introduced by Von Golitschek [9] and Leviatan [5] and recently used by Newman [6]. We will need the following lemmas:

LEMMA 1. Suppose  $f \in L^p[-1, 1]$  with  $\|f'\|_p \leq 1$ . Then there exists an  $N$ -th degree polynomial  $P_N(x)$  such that

$$(3) \quad \begin{aligned} \|f - P_N\|_p &\leq A_1/N \\ |P'_N| &\leq A_2 N^{1/p}. \end{aligned} \quad \text{while}$$

PROOF. For even periodic  $L^p$ -functions  $g(\theta)$  on  $[-\pi, \pi]$  with  $\|g'\|_p \leq 1$ , the even trigonometric approximation  $T_N(\theta)$  given by the Jackson kernel satisfies  $\|g - T_N\|_p \leq C_1/N$  while  $|T'_N| \leq C_2 N^{1/p}$ . See [4, p. 2]. For  $f \in L^p[-\frac{1}{2}, \frac{1}{2}]$ , we obtain (3) by making the usual transformation  $x = \cos \theta$ ,  $g(\theta) = f(\cos \theta)$ . Finally, a second change of variables shows that (3) holds for the interval  $[-1, 1]$ , as desired.

LEMMA 2. For any  $p \geq 1$  and  $k \geq 1$ ,  $|B_p(k)| \leq k^k \varepsilon_p^k$ .

PROOF. The proof is given in [6] under the hypothesis that  $k$  is a positive integer, but the proof is identical as long as  $k \geq 1$ .

LEMMA 3. In each of the cases (a), (b) and (c) and for any positive integer  $k$ , there exists a  $\Lambda$ -polynomial  $P_\Lambda$  such that

$$\|x^k - P_\Lambda(x)\|_p \leq B^{k+1}(k+1)^{k+1} \varepsilon_p^{k+(1/p)},$$

$B$  an absolute positive constant.

PROOF OF LEMMA 3. Here we must consider each case separately:

Case a.  $2 \leq p \leq \infty$ .

We begin by noting that the  $L^p$  distance of  $x^k$  to  $\Lambda$  is given by

$$\sup \int_0^1 x^k g(x) dx$$

where the supremum is taken over all functions  $g \in L^q [0, 1]$  of norm one and s.t.  $\int_0^1 x^{\lambda_m} g(x) dx = 0$  for all  $m$ . Given such a function  $g(x)$ , we set

$$F(z) = \int_0^1 x^{z-1/p} g(x) dx$$

and note that  $F(z)$  is an analytic function in the right half-plane with zeros at the points  $\lambda_m + 1/p$ , so that

$$F(z) = B_p(z) G(z).$$

To estimate  $G(z)$ , we rewrite  $F(z)$  as an integral over the half-line

$$F(z) = \int_0^\infty e^{-tz} e^{-t/q} g(e^{-t}) dt = B_p(z) G(z).$$

Noting then that  $\| e^{-t/q} g(e^{-t}) \|_q$  on  $[0, \infty) = 1$  and  $|B(iy)| = 1$  for all  $y$ , we can apply Young's inequality [10, p. 316] to conclude

$$\int_{-\infty}^\infty |G(iy)|^p dy \leq 2\pi.$$

Finally, since  $G(z)$  is analytic in the right half-plane

$$G(k) = \frac{k}{\pi i} \int_I G(z) dz / (z - k)(z + k)$$

where  $I$  is the imaginary axis and by Hölder's inequality

$$|G(k)| \leq (2\pi)^{1/p} (k/\pi) \int_I |dz| / |z - k|^q |z + k|^q$$

and a direct estimate shows

$$|G(k)| \leq (2/k)^{1/p}.$$

Recalling that the  $L^p$  distance of  $x^k$  to  $\Lambda$  is bounded by  $\sup_F F(k + 1/p)$ , we have for some  $P_\Lambda$ ,

$$\| x^k - P_\Lambda \|_p \leq |B_p(k + 1/p)G(k + 1/p)| \leq 2 |B_p(k + 1/p)|$$

and an application of Lemma 2 completes the proof.

Case b.  $1 \leq p < 2, \lambda_m \geq m$ .

Our proof here rests on the following inequality derived in [1]. (Interestingly, it was used there to obtain a lower bound in the conjugate spaces.)

DEFINITION. Suppose  $F(z) = \int_0^\infty e^{-tz} f(t) dt$ ,

$$\| F(z) \|_{A_\infty} = \| f(t) \|_p \text{ on } [0, \infty].$$

PROPOSITION.

$$\| B(z)/(z + k) \|_{A_p} \leq 3 \text{ for } 1 \leq p \leq 2.$$

The proposition is proven in [1, p. 452] for  $\lambda_m \geq 2m$  but is evidently true for  $\lambda_m \geq \delta m$ , where  $\delta > 0$  is arbitrary but fixed. While the proof there involves  $k = 1$ , the arguments are quite general.

Now, by a partial fraction decomposition

$$B(z)/(z+k) = B(-k)/(z+k) + \sum A_\lambda/(z+\lambda).$$

Thus

$$B(z)/(z+k) = B(-k) \int_0^\infty e^{-tz}(e^{-tk} - \sum C_\lambda e^{-t\lambda}) dt$$

so that according to the above proposition,

$$\| e^{-tk} - \sum C_\lambda e^{-t\lambda} \|_p \text{ on } [0, \infty] \leq 3 |B(-k)|^{-1} = 3 |B(k)|.$$

The standard transformation  $e^{-t} = x$  shows then that

$$\| x^{k-1/p} - \sum C_\lambda x^{\lambda-(1/p)} \|_p \text{ on } [0, 1] \leq 3 |B(k)|$$

or, equivalently,

$$\| x^k - P_\lambda(x) \|_p \leq 3 |B_p(k + 1/p)|$$

so that again by Lemma 2, the proof is complete.

*Case c.*  $1 \leq p < 2, \lambda_{m+1} - \lambda_m \leq 1.$

Our proof here rests on the ability to approximate  $x^{k+1}$  in the uniform norm and the explicit representation for  $\varepsilon_p$  given in (1).

We begin by considering, for any  $k$ , the set of monomials  $\{x^{\mu_m}\}$ , where  $\mu_m = (k + 1/k)\lambda_m$ . If we set

$$B_p^*(z) = \prod_m \frac{z - (\mu_m + 1/p)}{z + (\mu_m + 1/p)} \text{ and } \eta_p = \max_{\text{Re } z = 1} \left| \frac{B_p^*(z)}{z} \right|,$$

we have by Case (a)

$$\| t^{k+1} - \sum C_m t^{\mu_m} \|_\infty \leq 2(k+1)^{k+1} \eta_\infty^{k+1}$$

for some choice of coefficients  $C_0, C_1, \dots, C_n$ . Furthermore since  $\|f(t^\alpha)\|_\infty = \|f(t)\|_\infty$  for any  $\alpha > 0$ , we can make the change of variables  $t^{k+1} = x^k$  and conclude

$$\| x^k - \sum C_m x^{\lambda_m} \|_p \leq \| x^k - \sum C_m x^{\mu_m} \|_\infty \leq 2(k+1)^{k+1} \eta_\infty^{k+1}$$

for all  $p \geq 1$ .

Finally, since both of the sets  $\{x^{\lambda_m}\}$  and  $\{x^{\mu_m}\}$  have exponents which are separated by less than two, we can apply (1) to conclude

$$\varepsilon_p \geq B_1(\sum \lambda_m)^{-\frac{1}{2}} \geq B_2(\sum \mu_m)^{-\frac{1}{2}} \geq B_3 \eta_\infty$$

so that

$$\|x^k - \sum C_m x^{\lambda_m}\|_p \leq B^{k+1}(k+1)^{k+1} \varepsilon_p^{k+1}$$

and the proof is complete.

PROOF OF THEOREM 1. Let  $f$  be such that  $\|f'\|_p \leq 1$ ; we will show the existence of a polynomial  $P_\Lambda$  such that  $\|f - P_\Lambda\| \leq A \cdot \varepsilon_p$  (the reduction to this special case is contained e.g. in [1]).

Let  $P_N(x)$  be the  $N$ -th degree approximator guaranteed by Lemma 1 and recall that

$$(4) \quad |Q'_N| \leq 1 \Rightarrow |Q'_N(0)| \leq N^{k-1} \quad [8, \text{p. 226}].$$

Thus if

$$P_N(x) = \sum a_k x^k, \quad |a_k| \leq A_2 N^{k-1+1/p}/k!.$$

Finally taking  $P_\Lambda(x) = \sum a_k R_k(x)$  where  $R_k$  is the best  $A$ -approximator to  $x^k$  we have by Lemma 3,

$$\begin{aligned} \|f - P_\Lambda\|_p &\leq \|f - P_N\|_p + \|P_N - P_\Lambda\|_p \\ &\leq \frac{A_1}{N} + \sum_{k=1}^N \frac{N^{k-1+1/p} A_3^k (k+1)^{k+1} \varepsilon_p^{k+1/p}}{k!}. \end{aligned}$$

Choosing  $N = \frac{1}{6A_3 \cdot \varepsilon_p}$ ,

$$\|f - P_\Lambda\|_p \leq A_4 \varepsilon_p + \varepsilon_p A_5 \sum_{k=1}^{\infty} k \cdot \left(\frac{2e}{6}\right)^k$$

and the proof is complete.

REMARK. As we mentioned in the proof of Case (b) the methods used could be applied as well under the somewhat weaker condition  $\lambda_m \geq \delta m, \delta > 0$ . Nevertheless, not all cases are covered by Theorem 1 and one may wonder whether under some unusual conditions  $I_p$  may actually be much larger than  $\varepsilon_p$ . In the following sense this is impossible.

Proceeding as in the proof of Case (a), one could show using rough estimates that for any  $p \geq 1$  and positive integer  $k$ ,

$$\|x^k - P_\Lambda(x)\|_p \leq A(k+1)^{k+1} \varepsilon_p^{k+1/p} |\log \varepsilon_p|^{1/p}$$

for some  $P_\Lambda$ . This result may then be used as in the proof of Theorem 1 to show that

$$I_p \leq A \varepsilon_p |\log \varepsilon_p|^{1/p}$$

in all  $L^p$ -spaces, and with no restrictions on  $\lambda_1, \lambda_2, \dots, \lambda_n$ .

**2. Approximation to differentiable functions**

A second theorem of Jackson [4, p. 12] states that for any  $f \in C^1[0, 1]$  there exists an  $N$ -th degree polynomial  $P_N$  such that

$$\|f - P_N\|_\infty \leq AW(f'; 1/N)/N.$$

Stated equivalently in terms of certain basic functions  $f \in C^1[0, 1]$  with  $|f''| \leq 1$ , the theorem asserts that there exists  $P_N$  such that  $\|f - P_N\| \leq A/N^2$ . Corresponding results hold for functions in  $C^j[0, 1]$ , ( $1/N^2$  is replaced by  $1/N^{j+1}$ ), and in all the  $L^p$ -spaces as well. We wish to generalize these theorems in the direction of Muntz-approximation that is, we will prove

**THEOREM 2.** *Let  $\varepsilon_p$  be as before and assume the hypothesis of Theorem 1 is satisfied. Furthermore, supplement  $\Lambda$  to include the monomials  $x, x^2, \dots, x^j$ . Then for any  $j$ -times differentiable  $f \in L^p[0, 1]$ , there exists  $P_\Lambda$  such that*

$$\|f - P_\Lambda\|_p \leq A\varepsilon_p^j W_p(f; \varepsilon_p).$$

**REMARKS.** To simplify notation, we will deal with the case  $j = 1$  although the method generalizes easily. Also, we will confine ourselves to differentiable functions such that  $|f''|_p \leq 1$ . We then seek to demonstrate the existence of a function  $P_\Lambda$  such that

$$\|f - P_\Lambda\|_p \leq A\varepsilon_p^2$$

(the reduction to this special case and a proof under the hypotheses  $\lambda_m \geq 2m$ ,  $p \geq 2$  are contained in [1]).

**PROOF OF THEOREM 2.** We first use Jackson's method to approximate  $f$  by an  $N$ -th degree polynomial. Since  $|f''|_p \leq 1$ , by (3) we can find  $Q_N$  s.t.

$$\|f' - Q'_N\|_p \leq 1/N \text{ with } |Q''_N| \leq N^{1/p}.$$

But then  $N(f - Q_N)$  has a bounded derivative ( $L^p$ ) and we can find  $R_N$  s.t.

$$\|N(f - Q_N) - R_N\|_p \leq \frac{1}{N} \text{ with } |R'_N| \leq N^{1/p}.$$

Taking  $P_N = Q_N + R_N/N$ , we have

$$\|f - P_N\| \leq 1/N^2.$$

Also if  $P_N(x) = \sum a_k x^k$ ,  $|a_k| \leq AN^{k-2+1/p}/k!$  for  $k \geq 2$ .

This follows from the two inequalities  $|Q''_N| \leq N^{1/p}$  and  $|R'_N/N| \leq N^{-1+1/p}$  and (4).

Once again, reapproximating  $P_N(x)$  by  $P_\Lambda(x) = \sum a_k Q_k(x)$  where  $Q_k$  is the best  $\Lambda$ -approximator to  $x^k$ , we obtain by Lemma 3

$$\begin{aligned} \|f - P_\Lambda\| &\leq \|f - P_N\| + \|P_N - P_\Lambda\| \\ &\leq A/N^2 + \sum_{k=2}^\infty N^{k-2+1/p} B^{k+1} (k+1)^{k+1} \varepsilon_p^{k+1/p} / k!. \end{aligned}$$

Choosing  $N = 1/6 B \varepsilon_p$  as before we obtain

$$\|f - P_\Lambda\| \leq C \varepsilon_p^2$$

and the proof is complete.

### 3. Lower Bounds

**THEOREM 3.** *There exists a function  $f \in L^p[0, 1]$  such that  $d_p(f, \Lambda) \geq A W_p(f; \varepsilon_p)$  where  $A$  is an absolute positive constant (independent of  $f$  and  $\Lambda$ ) if either  $2 \leq p \leq \infty$  or  $1 \leq p < 2$  and  $\lambda_k \geq 2k$  for all  $k$ .*

**PROOF.** First assume  $2 \leq p \leq \infty$ . Our proof is based on some ideas and results from [1, 6]. Let  $I_p = \sup_{\|f'\|_p \leq 1} d_p(f, \Lambda)$ , then  $I_p$  has a useful representation

$$(5) \quad I_p = \sup_{g \in L^q[0, 1]} \|G\|_q / \|g\|_q \text{ where } g \perp P_\Lambda \text{ and } G(x) = \int_0^x g(t) dt.$$

Given  $g \in L^q[0, 1]$  such that  $g \perp P_\Lambda$  set

$$F(z) = \int_0^1 t^{z-1/p} g(t) dt.$$

Then  $F(z)$  is analytic for  $\text{Re } z > 0$  and vanishes for  $z = \lambda_i + 1/p \quad i = 0, 1, \dots, n$ . Also integration by parts yields

$$F(z) = -\left(z - \frac{1}{p}\right) \int_0^1 t^{z-(1/p)-1} G(t) dt$$

so that

$$\frac{F(z+1)}{z+(1/q)} = - \int_0^1 t^{z-(1/p)} G(t) dt.$$

Following [1, p. 451] we make a change of variable to obtain

$$F(z) = \int_0^\infty e^{-zt} h(t) dt$$

and



$$\frac{F(z + 1)}{z + (1/q)} = \int_0^\infty e^{-zt} H(t) dt$$

where  $h(t) = g(e^{-t})e^{-t/q}$  and  $H(t) = G(e^{-t})e^{-t/q}$  so that  $\|h\|_q$  on  $[0, \infty) = \|g\|_q$  on  $[0, 1]$  and  $\|H\|_q$  on  $[0, \infty) = \|G\|_q$  on  $[0, 1]$ . Since

$$\frac{F(iy + 1)}{iy + (1/q)}$$

is the Fourier transform of  $H(t)$  it follows by Young's inequality that

$$(6) \quad \left\| \frac{F(iy + 1)}{iy + (1/q)} \right\|_p \leq (2\Pi)^{1/p} \|H\|_q.$$

Now  $F(z)$  may be factorized into  $F(z) = B_p(z)A(z)$  where  $B_p(z)$  is the Blaschke product vanishing at  $z = \lambda_i + 1/p \quad i = 0, 1, \dots, n$  and  $A(z)$  is analytic in the right half-plane. Hence

$$(7) \quad \left\| \frac{F(iy + 1)}{iy + (1/q)} \right\|_p = \left( \int_{-\infty}^\infty |A(iy + 1)|^p L(y)^{p/2} dy \right)^{1/p}$$

where

$$L(y) = \frac{1}{y^2 + \frac{1}{q^2}} \prod_{i=0}^n \frac{y^2 + \left(\lambda_i - 1 + \frac{1}{p}\right)^2}{y^2 + \left(\lambda_i + 1 + \frac{1}{p}\right)^2}.$$

and we will use this to estimate

$$\left\| \frac{F(iy + 1)}{iy + (1/q)} \right\|_p$$

from below. We do this in much the same way as [7]. Let  $\gamma_i = \lambda_i + 1/p \quad i = 0, \dots, n$ .

Then for  $y \geq 0$

$$\begin{aligned} (8) \quad \frac{L'(y)}{L(y)} &= \sum_{i=0}^n \left[ \frac{2y}{y^2 + (\gamma_i - 1)^2} - \frac{2y}{y^2 + (\gamma_i + 1)^2} \right] - \frac{2y}{y^2 + \frac{1}{q^2}} \\ &= 8y \sum_{i=0}^n \frac{\gamma_i}{[y^2 + (\gamma_i - 1)^2][y^2 + (\gamma_i + 1)^2]} - \frac{2y}{y^2 + \frac{1}{q^2}} \\ &\geq -\frac{2y}{y^2 + \frac{1}{q^2}} \geq -q. \end{aligned}$$

Let  $t \geq 0$  be the point where  $L(y)$  assumes its maximum and put  $M = L(t)^{1/2}$ . Then integrating (8) from  $t$  to  $t + u$ ,  $u > 0$ , we obtain

$$\log \frac{L(t+u)}{M^2} \geq -qu \text{ or } L(t+u) \geq M^2 e^{-qu}$$

for all  $u > 0$ . In particular

$$(9) \quad L(y) \geq M^2 e^{-q}, \quad t \leq y \leq t + 1.$$

Now choose

$$F(z) = \frac{4z^2 s^2 B_p(z)}{(z+s)^2(z+1-it)}$$

where  $s = \sqrt{1+t^2}$ . This function has been used by Newman [6] for the case  $p = \infty$ . For this  $F(z)$

$$A(z) = \frac{4z^2 s^2}{(z+s)^2(z+1-it)}.$$

From (7) and (9)

$$\begin{aligned} \left\| \frac{F(iy+1)}{iy+(1/q)} \right\|_p &\geq M e^{-q/2} \left( \int_t^{t+1} \left( \frac{4|1+iy|^2 s^2}{|1+iy+s|^4 |1+iy+1-it|} \right)^p dy \right)^{1/p} \\ &= M e^{-q/2} \left( \int_t^{t+1} \left( \frac{4(1+y^2)^2 s^4}{((1+s)^2 + y^2)^4 (4+(y-t)^2)} \right)^{p/2} dy \right)^{1/p}. \end{aligned}$$

Now for  $t \leq y \leq t + 1$

$$\begin{aligned} \frac{(1+y^2)s^2}{[(1+s)^2 + y^2]^2} &= \frac{(1+y^2)(1+t^2)}{\left[ \left( 1 + \sqrt{1+t^2} \right)^2 + y^2 \right]^2} \\ &\geq \frac{(1+t^2)^2}{\left[ 1 + 2\sqrt{1+t^2} + 1 + t^2 + (1+t^2) \right]^2} \\ &\geq \frac{(1+t^2)^2}{[6(1+t^2)]^2} = \frac{1}{36}. \end{aligned}$$

Therefore

$$\begin{aligned} (10) \quad \left\| \frac{F(iy+1)}{iy+(1/q)} \right\|_p &\geq \frac{M}{18} e^{-q/2} \left( \int_t^{t+1} \frac{dy}{[4+(y-t)^2]^{p/2}} \right)^{1/p} \\ &= \frac{M e^{-q/2}}{18} \left( \int_0^1 \frac{dy}{(4+y^2)^{p/2}} \right)^{1/p} \\ &= CM. \end{aligned}$$

Evidently  $M$  is equivalent to  $\varepsilon_p$  thus (6) and (10) imply

$$(11) \quad \|H\|_q \geq K\varepsilon_p.$$

We now find an absolute upper bound for  $\|h\|_q$ ,  $1 < q < 2$  and once this is done our theorem follows by (5) and (11).

To this end we introduce the following notation of Bak and Newman [1, p. 451]. Denote by  $\|f\|_{A_1}$  the  $L^q$ -norm of the inverse Fourier transform of  $f$ . Newman [6, (14)] proved that

$$(12) \quad \|F(iy)\|_{A_1} \leq 6.$$

Also by Parseval's equality and Newman [6, (12)]

$$(13) \quad \begin{aligned} \|F(iy)\|_{A_2} &= \sqrt{2\pi} \|F(iy)\|_2 \\ &= \sqrt{2\pi} \int_{-\infty}^{\infty} |F(iy)|^2 dy^{1/2} \\ &\leq \sqrt{2}\pi. \end{aligned}$$

Combining (12) and (13) it follows that  $\|F(iy)\|_{A_q}$  is bounded by an absolute constant for all  $1 < q < 2$  and this completes the proof for  $2 \leq p \leq \infty$ . Thus let us assume  $1 \leq p < 2$ .

Our proof will be based on two lemmas. The first one assures us that the monomial  $x^{1-1/p}$  can be approximated ( $L^p$ ) to within a constant times  $\varepsilon_p$  by the derivative of some  $\Lambda$ -polynomial.

LEMMA 1. Let  $\Lambda' = \{x^{\mu_1}, x^{\mu_2}, \dots, x^{\mu_{n-1}}\}$  where  $\mu_k = \lambda_{k+1} - 1$  then

$$d_p(x^{1-1/p}, \Lambda') \leq A_1 \varepsilon_p.$$

PROOF OF LEMMA 1. According to Lemma 3b of Theorem 1,

$$\begin{aligned} d_p(x^{1-1/p}, \Lambda') &\leq \prod_{k=1}^{n-1} \left| \frac{\mu_k - 1 + 1/p}{\mu_k + 1 + 1/p} \right| = \prod_2^n \frac{\lambda_k - 2 + 1/p}{\lambda_k + 1/p} \\ &\leq \exp\left(-2 \sum_{k=3}^n 1/\lambda_k\right) \leq A_1 \varepsilon_p \end{aligned}$$

by the left-hand inequality in (2).

LEMMA 2.  $d_p(x^{2-1/p}, \Lambda) \geq A_2 \varepsilon_p^2$ .

PROOF OF LEMMA 2. Again, we will use the fact that

$$d_p(f; \Lambda) \geq \left| \int_0^1 \phi(x)f(x)dx \right|$$

for any  $\phi \in L_q$  such that  $\phi \perp \Lambda$  and  $\|\phi\|_q \leq 1$ .

Choose  $\phi$  so that

$$\int_0^1 \phi(x)x^z dx = \frac{zB_p(z + 1/p)}{5(z + 2)^3}.$$

Since  $B_p(\lambda + (1/p)) = 0, \phi \perp \Lambda$ . We wish to show  $\|\phi\|_q \leq 1$ . Note, then, that if we make the transformation  $x = e^{-t}$  and set  $z = iy - 1/p$ , we have

$$\int_0^\infty \phi(e^{-t})e^{-t/q}e^{-iyy}dt = \frac{(iy - 1/p)B_p(iy)}{5(iy + 2 - 1/p)^3}$$

so that

$$\phi(e^{-t})e^{-t/q} = \int_{-\infty}^\infty \frac{(iy - 1/p)B_p(iy)e^{iyy}}{5(iy + 2 - (1/p))^3} dy$$

and by Young's inequality

$$\|\phi(e^{-t})e^{-t/q}\|_q \text{ on } [0, \infty] \leq 1.$$

Returning to the unit interval, we have shown that  $\|\phi\|_q \leq 1$ . Thus

$$d_p(x^{2-1/p}; \Lambda) \geq \int_0^1 \phi(x)x^{2-1/p}dx = \frac{\left(2 - \frac{1}{p}\right)B_p(2)}{5\left(4 - \frac{1}{p}\right)^3}.$$

The proof follows then by noting that by the hypothesis on  $\{\lambda_k\}$

$$|B_p(2)| \geq A_1 \exp\left(-4\sum \frac{1}{\lambda}\right) \geq A_2 \varepsilon_p^2$$

the latter inequality following from the right-hand inequality in (2).

**PROOF OF THOEREM 3.** We will exhibit a function  $f$  such that

$$\|f'\|_p \leq 1, \text{ while } d_p(f; \Lambda) \geq A\varepsilon_p.$$

Choose  $a_1, a_2, \dots, a_n$  so that  $\sum a_k x^{\lambda_k - 1}$  is the best  $\Lambda'$  approximation to  $x^{1-1/p}$  and let

$$f(x) = \left[ x^{2-1/p} - \sum \frac{(2-1/p)a_k}{\lambda_k} x^{\lambda_k} \right] / 2A_1 \varepsilon_p.$$

By Lemma 1,

$$\|f'\|_p \leq 1$$

while on the other hand

$$d_p(f; \Lambda) = d_p\left(\frac{x^{2-(1/p)}}{2A_1\varepsilon_p}; \Lambda\right) \geq \frac{A_2}{2A_1}\varepsilon_p$$

by Lemma 2, and the proof is complete.

#### REFERENCES

1. J. Bak and D. J. Newman, *Müntz-Jackson theorems in  $L^p$  and  $C$* , Amer. J. Math. **154** (1972), 437-457.
2. J. Bak and D. J. Newman, *Müntz-Jackson theorems in  $L^p$ ,  $p < 2$* , J. Approximation Theory, to appear.
3. T. Ganelius and S. Westlund, *Degree of approximation in Müntz's theorem*, Proc. Int. Conf. on Math. Anal., Jyväskylä, Finland, 1970.
4. D. Jackson, *The Theory of Approximation*, A. M. S. Colloquium Pubs., Vol. XI, New York, 1930.
5. D. Leviatan, *On the Jackson-Müntz theorem*, J. Approximation Theory, to appear.
6. D. J. Newman, *A general Müntz-Jackson theorem*, Amer. J. Math., to appear.
7. D. J. Newman, *Müntz-Jackson Theorem in  $L^2$* , J. Approximation Theory, to appear.
8. A. F. Timan, *Theory of Approximation of Functions of a Real Variable*, Pergamon Press, New York, 1963.
9. M. Von Golitschek, *Erweiterung der Approximationssätze von Jackson im Sinne von Ch. Müntz II*, J. Approximation Theory **3** (1970), 72-85.
10. A. Zygmund, *Trigonometrical Series*, Monografie Matematyczne, Tom V, Warszawa-Lwow, 1935.

CITY COLLEGE OF NEW YORK

NEW YORK, U. S. A.

TEL AVIV UNIVERSITY

TEL AVIV, ISRAEL

AND

YESHIVA UNIVERSITY

NEW YORK, U. S. A.